

Tami Stark

Partner

+1 (267) 210 9124

tstark@shertremonte.com

Education

Fordham University School of Law,
J.D.

James Madison University, B.A.

Admissions

New York

U.S. District Courts for the Southern,
Eastern and Northern Districts of New
York

Clerkships

Hon. Carol B. Amon, U.S. District Court
for the Eastern District of New York



Tami Stark represents companies, financial institutions, and senior executives in high-stakes SEC enforcement actions, securities regulatory proceedings, and complex white collar investigations. Drawing on more than eight years of experience in the Enforcement Division of the U.S. Securities and Exchange Commission, including service as an Assistant Regional Director, she offers clients practical, insider-informed guidance on managing risk, conducting internal investigations, and navigating government inquiries.

Ranked as a leading lawyer in Chambers USA, Tami has been described by clients as “responsive, methodical and deeply knowledgeable” and as “someone you can really trust,” as well as “a strong partner to her clients with an insightful and informed perspective that not only helps cut through the heart of matters but also guides clients effectively through nuanced situations.” (Chambers USA 2025)

She has represented public and private companies, investment advisers, broker-dealers, fintech and technology companies, private equity sponsors and portfolio

Tami Stark

Partner

+1 (267) 210 9124
tstark@shertremonte.com

companies, boards, special committees and senior executives in SEC, DOJ, FINRA, and multi-state regulatory investigations. Her experience spans all phases of government-facing matters, from internal review and disclosure strategies to negotiated resolutions and contested litigation.

Tami also has substantial experience in court- and government-imposed monitorships and similar oversight roles. She has advised clients on matters relating to insider trading, accounting and financial reporting fraud, investment adviser and broker dealer misconduct, AI and cybersecurity disclosures, cryptocurrencies and other digital assets, the FCPA, and executive compensation and perks.

Before joining Sher Tremonte, Tami was a partner at an international AmLaw 10 firm, where she advised clients in major criminal and regulatory investigations and enforcement actions brought by the SEC, DOJ, FINRA, and other regulators. Earlier, she practiced at a white collar criminal defense firm and served as a law clerk to the Honorable Carol B. Amon of the U.S. District Court for the Eastern District of New York.

Experience

Persuaded SEC to Close Investigation Without Action

- Represented public telecommunications company through complex accounting fraud investigation.
- Represented a major car rental company in an SEC investigation concerning pandemic-related disclosure issues.
- Represented a leading private equity firm and a portfolio company in an SEC financial fraud investigation.
- Represented multiple U.S.-listed companies in SEC and FINRA insider trading investigations.
- Represented former officers of a SPAC in an SEC investigation regarding allegedly false projections in connection with the business combination.
- Represented senior executives in FCPA investigations conducted by the DOJ and SEC.
- Represented a registered investment adviser in an SEC investigation concerning alleged failures to disclose conflicts of interest.

Tami Stark

Partner

+1 (267) 210 9124

tstark@shertremonte.com

Negotiated Favorable Settlement

- Represented the special committee of the board of a China-based U.S.-listed company in an SEC investigation of accounting fraud.
- Represented a public company in an SEC investigation involving executive compensation and related party transaction disclosures.
- Represented a fintech company in investigations by multiple states and the SEC regarding a cryptocurrency lending product, obtaining a favorable settlement with one state and persuading the SEC and other states to close their investigations without action.

Other Representative Matters

- Represented hedge fund executives in SEC and DOJ investigations of alleged AI-related fraud.
- Represented activist investment adviser in SEC examination and investigation relating to insider trading, and beneficial ownership and other disclosures.
- Represented U.S.-listed companies and senior executives in SEC and DOJ investigations relating to de-SPAC transactions, offering fraud, and alleged market manipulation.

Monitorships

- Led the monitorship team for a large not-for-profit organization whose former CEO was convicted in a high-profile kickback prosecution brought by the New York Attorney General's Office, working with multiple New York state and city agencies over more than four years to enhance governance, compliance, financial controls, and board oversight.
- Spearheaded a three-year auditorship of a pharmaceutical company subject to investigation by the New York Attorney General regarding its opioid marketing practices, overseeing the company's compliance with the resolution agreement and its ongoing policies and procedures.

Recognitions

Recognized in the practice of White Collar Crime & Government Investigations by Chambers USA, 2025

Recognized in the practice of Dispute Resolution: Corporate Investigations & White Collar Criminal Defense and Securities Litigation Defense, The Legal 500 USA 2025

Recognized in Lawdragon 500 Leading Litigators in America: White Collar & Investigations (2024-2026)

SEC Ellen B. Ross Award for exemplary commitment, enthusiasm and performance

Tami Stark

Partner

+1 (267) 210 9124
tstark@shertremonte.com

Affiliations

Securities Litigation Committee, New York City Bar Association

Association of Securities & Exchange Commission Alumni, Inc.

Women's White Collar Defense Association

Business and Corporate Litigation Committee, Business Law Section, American Bar Association

Board of Directors, Child Care Counsel of Suffolk, Inc.

Board of Advisors, The ENERGEIA Partnership